# DataStoreDSX
# Supporting Regulatory Compliance

**Information Management is a fundamental component of a Compliance programme. DataStoreDSX supports this by capturing all forms of enterprise content into a single secure digital repository, thereby ensuring information integrity and providing one global point of control. DataStoreDSX helps firms enforce compliance across all heavily regulated industries.**

**With increasing levels of compliance regulations coming into force, keeping track of key documents is more important than ever.**

Complying with regulations should be viewed as an opportunity to improve common business practices.

Adopting the DataStoreDSX Information Management/Enterprise Content Management system can help reduce the overall cost of compliance to a business. With the threat of fines and reputational damage to organizations and even imprisonment for individuals, non-compliance is simply not an option.

## Common Regulatory Compliance Challenges

- FCPA/UKBA
- Sarbanes-Oxley (SOX)
- SEC 17a-3/17a-4
- Basel Accords
- Dodd Frank
- Volcker rule
- HIPAA
- CFR 21 Section 11
- HACCP
- PCI-DSS
- Freedom Act/Patriot Act

## DataStoreDSX is designed specifically to meet Regulatory Compliance Challenges

### Records Management

Effective management of documents and records across the whole lifecycle, from capturing to archiving and destruction, has become essential to meet today's Compliance demands. DataStoreDSX stores all corporate information in a single secure digital repository. Once stored, the information may not be altered or amended ensuring data integrity and confidentiality meeting the requirements for Sarbanes-Oxley (SOX) amongst other regulations.

### Retention scheduling

Regulations such as SEC 17a specify minimum requirements with respect to what records broker-dealers must and how long those records must be kept by businesses. DataStoreDSX ensures that information is only retained for as long as is required and/or necessary, thereby complying with data protection requirements.

### Information Security

Security of access is of paramount importance. Security permissions limit access to specific groups of users or individuals, or even to certain information types. DataStoreDSX provides full reporting details on ''who accessed what, when, where and how'' invaluable when you are required to demonstrate compliance to auditors and regulators.

## Anti-Money Laundering/Know Your Customer (AML/KYC)

Regulators and Auditors demand that Compliance teams focus on Customer Due Diligence not only at the time of On-Boarding, but also at the time of periodic Customer review.

DSX Document Inventory automatically notifies when KYC/AML documentation is missing or expired helping you keep on top of your regulatory obligations, whilst reducing the labour intensive and error prone processes of manually tracking KYC/AML documentation.

## Process automation

The DSX Workflow module transforms the work environment and streamlines business processes. Structured repetitive tasks can easily be automated eliminating error prone manual tasks, improving employee productivity and efficiency and substantially reducing costs, whilst also improving Customer Service levels.

## Compliance audits

Every action in DataStoreDSX is audited and can be used as evidence of compliance, supporting regulatory systems and controls requirements.

## Data Protection

Data Protection Regulations are constantly being tightened across the globe. For example HIPAA (The Health Information Portability and Accountability Act specifies that individually identifiable health information is maintained with integrity. DataStoreDSX meets data protection requirments via its Enterprise Security Controls.

## Disastor Recovery/Business Continuity

DataStoreDSX is a secure, scalable, high performance solution which also helps businesses reduce risk by providing greatly improved Information Security capabilities and enhancing Disaster Recovery and Business Continuity Planning with secure 24/7 remote access capability.

## DataStoreDSX Benefits:

- Robust end to end ECM solution: For all your Information Management requirements outside of Regulatory Compliance.

- Point and Click configurable: Allows for internal management and reduces total cost of ownership.

- Ease of Use: Intuitive user interface helps fast user adoption.

- Full integration options: Allows end users to remain in their usual business applications whilst accessing DSX data.

- Single secure digital repository of corporate information, irrespective of format or source with instant access and retrieval.

- Improved employee productivity: Streamline business processes, eliminate error prone administrative processes.

- Retention of corporate information in accordance with legal and regulatory guidelines.

- Reduced costs: reclaim expensive floor space; eliminate copying and print.

- Maintain data integrity, confidentiality and accessibility.

- Designed and built by Governance, Risk and Compliance Experts.

## About Hitec (Laboratories) Ltd

Hitec develop, implement and support Governance, Risk and Compliance software solutions assisting organisations to reduce cost, improve efficiency, reduce risk and ensure compliance with regulations.

Our solutions span Information Management through to Risk, Incident and Policy Management. We have been supporting Customers address business and operational Compliance challenges for over 25 years.

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